

Agenda

Audit Committee

Tuesday, 15 March 2022 at 7.30 pm

New Council Chamber, Town Hall, Reigate



This meeting will take place in accordance with Government guidance. The Committee will assemble at the Town Hall, Reigate. Members of the public, Officers and Visiting Members should attend remotely.

Please wear a face covering at all times in the chamber, except when you are speaking, or, if you are seated at least 2 metres distance from others.



Members of the public may observe the proceedings live on the Council's [website](#).

Members:

J. P. King (Chairman)

R. J. Feeney
J. Baker
M. S. Blacker
J. Booton
G. Buttironi

S. A. Kulka
R. Michalowski
S. T. Walsh
Coyle

Substitutes:

Conservatives: A. King, S. Parnall and R. S. Turner

Residents Group: N. D. Harrison and C. T. H. Whinney

Green Party: P. Chandler, J. C. S. Essex, S. McKenna, S. Sinden, R. Ritter and D. Torra

Liberal Democrats M. Elbourne

Mari Roberts-Wood - Head of Paid Service

For enquiries regarding this agenda;

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- 1. Minutes** (Pages 5 - 12)

To confirm as a correct record the Minutes of the previous meeting.
- 2. Apologies for Absence and Substitutions**

To receive any apologies for absence and notification of substitutes in accordance with the Constitution.
- 3. Declaration of Interest**

To receive any declarations of interest.
- 4. Risk management - Quarter 3 2021/22** (Pages 13 - 36)

To note the Quarter 3 2021/22 update on risk management provided in the report and associated annexes and make any observations to the Executive.
- 5. Strategic risks - 2022/23** (Pages 37 - 44)

To note the identified strategic risks for 2022/23 as detailed in annex 1 and make any observations to the Executive.
- 6. Internal audit - Quarter 3 2021/22 progress report** (Pages 45 - 64)

 - I. That the Audit Committee note the Q3 2021/22 internal audit progress report available at annex 1; and,
 - II. That the Audit Committee make any comments and/or observations on the report to the Council's Chief Finance Officer.
- 7. Internal audit plan 2022/23 and Charter 2022/23** (Pages 65 - 90)

 - I. That the Audit Committee approve the internal audit plan for 2022/23 as set out in annex 1; and,
 - II. That the Audit Committee approve the internal audit Charter for 2022/23 as set out in annex 2.

8. Treasury Management Strategy 2022/23

(Pages 91 - 144)

The Committee considers and provides feedback on the following which are to be finalised and submitted for approval by the Executive on 24 March 2022 and Council on 7 April 2022:

- I. The Treasury Management Strategy Statement for 2022/23 as set out in this report; and
- II. The Treasury Management Prudential Indicators for 2022/23 as set out in this report.

9. Audit Committee Annual Report 2021/22 and Work Programme 2022/23 (Pages 145 - 160)

- I. That the Annual Report of the Audit Committee be noted and, subject to any changes agreed at this meeting, recommended to Council; and
- II. That the Audit Committee's Forward Plan for 2022/23 be approved.

10. Any Other Urgent Business

To consider any item(s) which, in the opinion of the Chair, should be considered as a matter of urgency – Local Government Act 1972, Section 100b (4)(b).

Note: Urgent business must be submitted in writing but may be supplemented by an oral report.

11. Exempt Business

RECOMMENDED that members of the Press and public be excluded from the meeting for the following item of business under Section 100A(4) of the Local Government Act 1972 on the grounds that:

3. Information relating to the financial or business affairs of any particular person (including the authority holding that information).

7. Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime.



Our meetings

As we would all appreciate, our meetings will be conducted in a spirit of mutual respect and trust, working together for the benefit of our Community and the Council, and in accordance with our Member Code of Conduct. Courtesy will be shown to all those taking part.



Streaming of meetings

Meetings are broadcast live on the internet and are available to view online for six months. A recording is retained for six years after the meeting. In attending any meeting, you are recognising that you may be filmed and consent to the live stream being broadcast online, and available for others to view.



Accessibility

The Council's agenda and minutes are provided in English. However, the Council also embraces its duty to anticipate the need to provide documents in different formats, such as audio, large print or in other languages. The Council will provide such formats where a need is identified prior to publication or on request.



Notice is given of the intention to hold any part of this meeting in private for consideration of any reports containing "exempt" information, which will be marked accordingly.